

#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

NCR Docket No. 9488.00

**RENEWED PETITION  
UNDER 37 C.F.R. § 1.47(b)**

Application of

**Michael Waller et al.**

OCT 08 2002

Serial No. 09/992,239

Group Art Unit: 2673

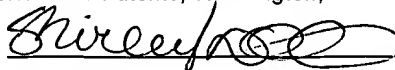
Filed: November 6, 2001

Examiner: Unknown

For: **INFORMATION RETRIEVAL AND DISPLAY**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on  
~~OCT 08 2002~~ (Date of Deposit).

  
Shirley Doll

Assistant Commissioner for Patents  
Box DAC  
Washington, D.C. 20231  
Attn: Office of Petitions  
Mr. Scott M. Ledford

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**OFFICE OF PETITIONS**

**RENEWED PETITION UNDER 37 C.F.R. § 1.47(b)**

This communication is further to the "PETITION PURSUANT TO 37 C.F.R. § 1.47(b)" of April 4, 2002 in the above-identified application, and responds to the "DECISION REFUSING STATUS UNDER 37 CFR 1.47(b)" of August 9, 2002.

Applicant has presented inventor Ward again with a new set of application papers to obtain his signature. In this regard, enclosed herewith are a supplemental declaration of Christine Sheppard and a supplemental declaration of Michael Chan which show that inventor Ward was presented with a complete copy of the application papers (specification, claims, drawings, and oath or declaration).

The Petitioner states that the last known address of inventor Ward is as follows:

Matthew A. Ward  
66 Avignon Road, Brockley  
London SE4 2JT

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OCT 18 2002

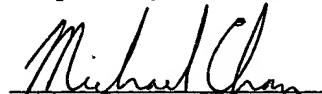
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The establishment of Rule 47 status as to inventor Waller is acknowledged.

If any additional information is needed to consider this renewed petition, please contact the undersigned.

Please charge any deficiency or credit any overpayment of fees to NCR Deposit Account No. 14-0225.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Michael Chan", is written over a horizontal line.

Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

NCR Corporation, Law Department, WHQ5E  
1700 S. Patterson Blvd., Dayton, OH 45479-0001  
Tel. No. 937-445-4956/Fax No. 937-445-3733

OCT 08 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

NCR Docket No. **9488.00**

Application of

**Michael Waller et al.**

Serial No. **09/992,239**

Filed: **November 6, 2001**

OCT 08 2002

Group Art Unit: **2673**

Examiner: **Unknown**

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Assistant Commissioner for Patents  
Box DAC  
Washington, D.C. 20231  
Attn: Office of Petitions  
Mr. Scott M. Ledford

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**SUPPLEMENTAL DECLARATION OF MICHAEL CHAN**

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Sir:

OCT 18 2002

I, Michael Chan, being duly sworn, do hereby declare and state:

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1. This declaration supplements my declaration which was signed on April 3, 2002 for the above-identified U.S. patent application, Serial No. 09/992,239, and which was submitted to the U.S. Patent Office on April 4, 2002.


2. On August 20, 2002 or thereabouts, one set of U.S. patent application papers (including copy of specification, claims, drawings, declaration of inventors, and assignment for inventors) corresponding to the above-identified U.S. patent application, Serial No. 09/992,239, was sent from the Dayton offices to the London offices of the Intellectual Property Section of the Law Department for subsequent forwarding to inventor Ward to sign the declaration and the assignment.

3. On October 8, 2002, a set of documents including Christine Sheppard's supplemental declaration and its accompanying exhibits were received from the London offices of the Intellectual Property Section of the Law Department.

4. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

WHEREFORE, I hereby subscribe by name to the foregoing declaration.

Signed at Dayton, Ohio this 8th day of October 2002.

  
Michael Chan  
Reg. No. 33,663  
Attorney for Applicant(s)

NCR Corporation, Law Department, WHQ5E  
1700 S. Patterson Blvd., Dayton, OH 45479-0001  
Tel. No. 937-445-4956/Fax No. 937-445-3733

OCT 08 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Dayton, Ohio

NCR Docket No. **9488.00**

Application of

**Michael Waller et al.**

Serial No. **09/992,239**

Group Art Unit: **2673**

Filed: **November 6, 2001**

Examiner: **Unknown**

For: **INFORMATION RETRIEVAL AND DISPLAY**

Assistant Commissioner for Patents  
Box DAC  
Washington, D.C. 20231

Attn: Office of Petitions  
Mr. Scott M. Ledford

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**OFFICE OF PETITIONS**

**SUPPLEMENTAL DECLARATION OF CHRISTINE SHEPPARD**

Sir:

I, Christine Sheppard, being duly sworn, do hereby declare and state:

1. This declaration supplements my declaration which was signed on March 28, 2002 for the above-identified U.S. patent application, Serial No. 09/992,239, and which was submitted to the U.S. Patent Office on April 4, 2002.

2. On September 3, 2002, one set of U.S. patent application papers (including copy of specification, claims, drawings, declaration of inventors, and assignment for inventors) corresponding to the above-identified U.S. patent application, Serial No. 09/992,239, was received from the Dayton offices of the Intellectual Property Section of the Law Department for forwarding to inventor Ward in the above-identified U.S. patent application, to sign the declaration and the assignment (see

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Exhibit A for copy of cover letter received with the set of U.S. patent application papers on September 3, 2002). As of September 3, 2002, the aforesaid inventor was not in the employ of NCR Corporation.

3. On September 3, 2002, the U.S. patent application papers received on September 3, 2002 from the Dayton offices of the Intellectual Property Section of the Law Department were forwarded to inventor Ward, at his home addresses, which is "66 Avignon Road, Brockley, London SE4 2JT", for execution by the inventor (see Exhibit B for a copy of the letter sent by Royal Mail Recorded Delivery to inventor Ward, on September 3, 2002).

4. Royal Mail attempted to deliver the mail package to inventor Ward on September 4, 2002, but there was no reply at his last known address of "66 Avignon Road, Brockley, London SE4 2JT". A card was delivered to this address informing inventor Ward that a mail package could be collected from the Sorting Office. As of today October 4, 2002, the mail package has not been collected.

5. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

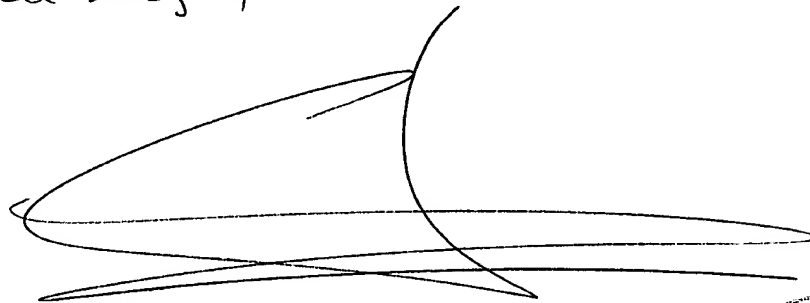
WHEREFORE, I hereby subscribe by name to the foregoing declaration.

Signed at London, United Kingdom this 4<sup>th</sup> day of October, 2002.

  
Christine Sheppard

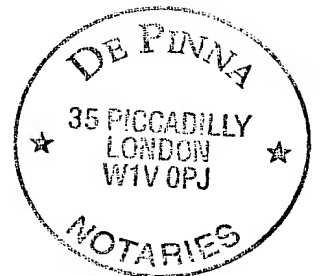
KINGDOM OF ENGLAND } S.S.  
CITY OF LONDON }  
and sworn

Signed in the presence of ELEANOR FRANCES ALISON FOGAN Notary Public  
on this 4th day of October 2002



E. F. A. FOGAN  
Notary Public of London, England

(My commission  
expires at death)





Intracorporation

Date: August 20, 2002

**RESENT AUGUST 30, 2002**

To: Christine Sheppard, Paralegal  
Location: International IP Department, London, 6205

**INT'L PATENTS**

From: Michael Chan, Senior Attorney  
Location: Law Department, Intellectual Property Section, WHQ5E  
Phone: 937 445-4956; VP 622-4956  
Fax: 937 445-3733; VP 622-3733  
Email: Michael.Chan@DaytonOH.NCR.COM

**- 3 SEP 2002**

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Subject: **Docket No. 9488.00**

Enclosed is an individual patent application that has previously been reviewed by inventor **Ward** and which has been filed in the U.S. Patent and Trademark Office, including a Declaration and Power of Attorney and individual Assignment for the inventor that requires his signature.

With regard to the application documents, we have enclosed for inventor **Ward**:

- (1) a copy of the associated drawings;
- (2) a copy of the specification, claims and Declaration and Power of Attorney; and
- (3) a copy of the Assignment papers by which said application is assigned to NCR Corporation

With regard to the Declaration and Power of Attorney, please have the inventor review the application, and if satisfied, his name should be signed in the space(s) provided, ***exactly*** as it is typewritten. A space for the date of signing is also provided for each inventor to fill in when signing. **Notarization is not required.**

The Assignment paper should also be signed and dated the same day the Declaration and Power of Attorney is executed. **Notarization is not required.**

All stapled material should remain stapled for filing in the United States.

We have received a notice from the USPTO requiring us to complete this application by filing a copy of the application signed by the inventor. A limited time is provided for us to comply with this requirement. Accordingly, please return the executed documents to our office **by September 25, 2002**, so that we can comply with the USPTO requirement.

  
Michael Chan

/sad  
Enclosures

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**LAW DEPARTMENT**





International Intellectual Property Department

NCR Limited  
206 Marylebone Road  
London NW1 6LY  
Tel: 020 7723 7070  
Fax: 020 7725 8333

September 3, 2002

Matthew A Ward  
66 Avignon Road  
Brockley  
London SE4 2JT

**RECORDED DELIVERY**

Dear Mr Ward,

**Re: Application for Patent in US**  
**Entitled: 'INFORMATION RETRIEVAL AND DISPLAY'**  
**Our Ref: 9488**

Please find enclosed, a document of Assignment and a Combined Declaration & Power of Attorney attached to a copy of the specification, claims and drawings i.e. a complete copy of the application papers relating to the above identified application. These documents are required by the United States Patent Office. Please amend your address and initial the amendment. I should be pleased if you would sign and date these documents where indicated. When completed, please return the documents to this office, an addressed envelope is enclosed for your use. If you would prefer to return the documents by courier please telephone me on 020 7725 8379 and I will arrange to have the documents collected from you.

Yours sincerely,

C Sheppard  
Enc: Declaration  
Assignment  
SAE